

Applicant: Shults, et al.
Application No.: 09/489,588
Docket No: 1146-4 DIV/CON
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REMARKS

The application has been amended. Claims 43 and 47 have been amended, claims 1-27 have been canceled and claims 51-71 have been added. Please charge the additional claim fees in the amount of \$282.00 to our deposit account 08-2461. Reconsideration of the application is respectfully requested.

In the Preliminary Amendment, Applicants attempted to cancel original claims 11-27 of the grandparent application (Serial No. 08/811,473), and amend claims 1-10 of the grandparent. However, in the Office Action mailed 2/27/02, the Examiner alleges that claims 1-27 of the grandparent are not in the present case.

Applicants believe that original claims 1-27 of the grandparent application should have been retained in the present application, because they were not expressly canceled at the time of filing the present case. However, to assist the Examiner, Applicants now request that claims 1-27 be canceled without prejudice to the extent that Applicants believe they were retained in this case. Claims 28-50, which have been accepted by the Examiner for examination purposes, remain in the case numbered as such, with any new claims being numbered from claim 51.

Original claims 1-10 of the grandparent are now present as new claims 53-62. It is noted that new claims 53, and 58-62 correspond substantially to the original claims, but have been amended.

The Examiner has rejected claims 28-50 under the doctrine of obviousness-type double patenting "as being unpatentable over claims 1-10 of U.S. Patent No. 601167". Applicants believe that the Examiner has cited an incorrect patent number, and that the Examiner likely intended to cite U.S. Patent No. 6,001,067 instead. To the extent that Applicants are correct in this regard, upon receipt of a notice of allowance, Applicants will file a terminal disclaimer as

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permitted under 35 U.S.C. §253 in order to obviate the double patenting rejection of claims 28-50.

The Examiner has further rejected claims 43, and 48-50 under 35 U.S.C. §102 (e) as being anticipated by U.S. Patent No. 5,791,344 to Schulman et al.(henceforth referred to as Schulman). The Examiner states the following:

Schulman et al discloses a device including a housing 80 for being implanted in a host, a means, including a membrane with glucose oxidase and the electrodes mounted thereon to measure glucose concentration, and a bioprotective membrane 100 that is substantially impermeable to macrophages.

Applicants respectfully traverse the rejection under §102 (e) on the grounds that (1) Schulman, et al. fails to teach or disclose, *inter alia*, a housing of size and configuration for wholly implanting the device as provided by the present invention; and (2) Schulman fails to teach or provide an enabling disclosure of "continuous glucose sensing". These points will now be addressed in further detail below.

Schulman discloses a patient monitoring system for measuring a substance in a biological fluid or tissue. The Schulman monitoring system includes an enzymatic sensor adapted to be inserted into a host that is connected *via* a cable to a monitor located outside the patient's body. For example, as stated in column 2, lines 60-62 of Schulman, 'The electrical signals generated by the sensor ("sensor signals") are delivered through a suitable interconnect cable to a monitor.' Moreover, in column 6, lines 36-38 of Schulman it states: "The sensor assembly 32 is electrically connected to the glucose monitor by means of an interconnect cable 40." Furthermore, Schulman states in column 4, lines 35 and 36 that the sensor is coupled to an external monitor through a connector and two- or three-conductor cable. Thus, in contrast to the present invention, as, for example, recited in amended claim 43, the Schulman device is not wholly implantable in that the patient needs to remain electrically connected to an external monitor in order to continue to receive information from the device. Because of the well-known risk of

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serious infection, such a device is primarily useful for bedside monitoring of the patient. For example, in column 4, lines 6-8 of Schulman, it states the following: "Such system is particularly suited for use in a hospital environment or other in-patient setting."

Furthermore, Schulman fails to disclose a sensing device capable of continuous glucose sensing as set forth in amended claim 43, for example. On page 9, lines 20-25, of Applicants' specification, it states "The phrase 'continuous glucose sensing' refers to the period in which monitoring of plasma glucose concentration is continuously carried out. More specifically, at the beginning of the period in which continuous glucose sensing is effected, the background sensor output noise disappears, and the sensor output stabilizes (e.g., over several days) to a long-term level reflecting adequate microcirculatory delivery of glucose and oxygen to the tip of the sensor." Furthermore, on page 10, lines 1-2 of Applicants' specification, it states "Failure of adequate vascularization or consistent contact of tissue with sensor will result in failure of continuous glucose sensing." Independent claims 43, 63 and 69 all, for example, call for a device which is capable of continuous glucose sensing, none of which are or can be anticipated by Schulman.

The present invention describes a wholly implantable device suitable for long-term continuous glucose sensing as defined in Applicants' specification. In particular, the present inventors have recognized that Foreign Body Capsule (FBC) formation is the dominant event surrounding long term implantation of any sensor and that the formation must be enhanced to support sensor performance. The unique architecture of the device of the present invention allows for there to be a dependable flow of blood to deliver glucose to the implanted device at a concentration representative of that in the vasculature. This is accomplished *via* an angiogenic layer that serves to promote vascularization in the sensor interface region and fixate the sensor tip in the tissue as, for example, now set forth in claims 66 and 69.

Moreover, the devices of the present invention become secured with the tissue of the subject by means of a capsular attachment layer, thereby reducing or eliminating "motion

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artifact", which contributes to unreliable results. This is accomplished by using materials, such as surgical-grade polyester velour that encourage Foreign Body Capsule tissue to aggressively grow into the materials and form a strong mechanical bond. This fixation of the implant in its capsule is essential to prevent "motion artifact" which contributes to unreliable results. The non-smooth surface of the capsular attachment layer 16 is shown in Figure 1B of Applicants' specification.

In contrast to the present invention, the Schulman device is a smooth surface device that, if implanted subcutaneously, would evoke a classical foreign body capsule, such that long-term availability of glucose to the sensor would be blocked. In particular, outer sheath 100, which is in contact with the tissue, is disclosed as being silicone rubber, which as commonly known in the art would be smooth in texture. Schulman neither teaches nor discloses modifying the silicone sheath so as to prevent the formation of a glucose impermeable barrier during long-term implantation. Moreover, Schulman neither discloses nor suggests the use of an angiogenic layer, as particularly recited in claim 53, to promote the microcirculatory delivery of glucose and oxygen to the sensor tip, which is necessary for continuous glucose sensing as defined in Applicants' specification. Furthermore, the Schulman device does not include a capsular attachment layer or any other securing means, and as such would be susceptible to "motion artifact", which as described above and in Applicants' specification would contribute to unreliable results. Thus, this device would not be useful for continuous long-term monitoring of glucose.

The Examiner has further rejected claims 44 and 45 under 35 U.S.C. §103(a) as being unpatentable over U.S. patent No. 5,791,344 to Schulman, et al. The Examiner is of the opinion that the exact pore size and the exact membrane material would have been obvious to one skilled in the art. Since dependent claims 44 and 45 include all the limitations of patentable independent claim 43, Applicants submit that they are also patentable over the Schulman reference.

Applicants acknowledge the allowability of claims 46 and 47 and thank the Examiner for same. Claim 47 has been corrected to depend from claim 46.

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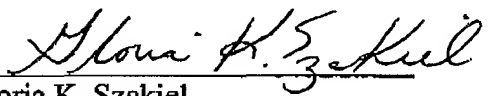
Applicants submit that new claims 51-71 are being presented to further define the invention.

Having responded in full to the present Office Action, it is respectfully submitted that the application is in condition for allowance. Favorable action thereon is respectfully solicited.

Pursuant to §1.56, attached hereto is form PTO-1449 identifying additional references which have come to the attention of the undersigned. Since these references are being submitted after receipt of a first Office Action under §1.97 (c), please charge the required fee of \$180.00 to our Deposit Account No. 08-2461.

Should the Examiner have any questions, the Examiner is invited to call the undersigned at the telephone number listed below.

Respectfully submitted,


Gloria K. Szakiel
Registration No.: 45,149
Agent for Applicants

HOFFMANN & BARON, LLP
6900 Jericho Turnpike
Syosset, NY 11791
(973) 331-1700

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VERSION OF AMENDMENT WITH MARKINGS
SHOWING CHANGES

IN THE CLAIMS:

43. (Amended) [An] A wholly implantable glucose monitoring device, comprising:
a housing [adapted] of size and configuration for whole implantation into a host; and
a sensor supported by said housing for communication with tissue of said host, said
sensor capable of continuous glucose sensing comprising (i) a member for determining the
amount of glucose in biological fluid of said host, and (ii) a bioprotective member disposed more
distal to said housing than said glucose determining member and including a bioprotective
membrane that is substantially impermeable to macrophages and permeable to glucose and
oxygen.

47. (Amended) An implantable glucose monitoring device of claim [43] 46, wherein said
securing member comprises poly(ethylene terephthalate).

Please cancel claims 1-27, without prejudice.

New claims 51-71 have been added as follows:

51. (New) An implantable glucose monitoring device of claim 43, said housing including a
cavity contained therewithin.

52. (New) An implantable glucose monitoring device of claim 51, wherein said sensor is
within said housing cavity.

53. (New) A biological fluid measuring device, comprising:
(a) a housing comprising an electronic circuit and at least two electrodes operably
connected to said electronic circuit; and

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(b) a sensor operably connected to said electrodes of said housing, said sensor comprising (i) a bioprotective membrane, and (ii) an angiogenic layer, said angiogenic layer positioned more distal to said housing than said bioprotective membrane.

54. (New) The biological fluid measuring device of claim 53, wherein said bioprotective membrane is substantially impermeable to macrophages.

55. (New) The biological fluid measuring device of claim 53, wherein said bioprotective membrane comprises pores, said pores having diameters ranging from about 0.1 micron to about 1.0 micron.

56. (New) The biological fluid measuring device of claim 53, wherein said bioprotective membrane comprises polytetrafluoroethylene.

57. (New) The biological fluid measuring device of claim 53, wherein said angiogenic layer comprises polytetrafluoroethylene.

58. (New) The biological fluid measuring device of claim 53, further comprising (c) a member for securing said device to biological tissue, and securing member associated with said housing.

59. (New) The biological fluid measuring device of claim 58, wherein said securing member comprises poly(ethylene terephthalate).

60. (New) The biological fluid measuring device of claim 53, wherein said sensor further comprises a member for determining the amount of glucose in a biological sample.

61. (New) The biological fluid measuring device of claim 60, wherein said glucose determining member comprises a membrane containing glucose oxidase, said glucose oxidase-

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containing membrane positioned more proximal to said housing than said bioprotective membrane.

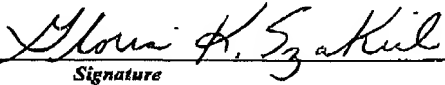



62. (New) The biological fluid measuring device of claim 53, wherein said housing further comprises an apparatus operatively connected to said electronic circuit for transmitting data to a location external to said device.
63. (New) A device for measuring glucose in a tissue of a host comprising:
a wholly implantable device comprising a sensor capable of continuous glucose sensing, said sensor having an interface tip for communicating with the tissue of said host, said tip comprising a fixation domain adapted for substantial fixation of said tip in a foreign body capsule.
64. (New) The device of claim 63, wherein said wholly implantable device is sized and configured for being wholly implanted subcutaneously.
65. (New) The device of claim 63, wherein said sensor tip fixation domain comprises a capsular attachment layer on said sensor.
66. (New) The device of claim 65, wherein said sensor tip fixation domain further comprises an angiogenic layer on said sensor.
67. (New) The device of claim 65, wherein said capsular attachment layer is non-smooth.
68. (New) The device of claim 67, wherein said non-smooth layer includes surgical grade polyester velour.
69. (New) An implantable device for subcutaneous monitoring of glucose levels, comprising a housing and a sensor capable of continuous glucose sensing, said sensor including an

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angiogenic layer for promoting adequate microcirculatory delivery of glucose and oxygen to said sensor.

70. (New) The device of claim 69, wherein said sensor further includes a capsular attachment layer.

71. (New) The device of claim 69, wherein said implantable device is sized and configured for being wholly implanted subcutaneously.

AMENDMENT TRANSMITTAL LETTER (Large Entity)				Docket No. 1146-4 DIV/CON							
Applicant(s): Shults, et al.											
Serial No. 09/489,588	Filing Date January 21, 2000	Examiner Nasser, R.		Group Art Unit 3736							
Invention: DEVICE AND METHOD FOR DETERMINING ANALYTE LEVELS											
<u>TO THE ASSISTANT COMMISSIONER FOR PATENTS:</u>											
Transmitted herewith is an amendment in the above-identified application. The fee has been calculated and is transmitted as shown below.											
CLAIMS AS AMENDED											
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE						
TOTAL CLAIMS	44 -	33 =	11 x	\$18.00	\$198.00						
INDEP. CLAIMS	4 -	3 =	1 x	\$84.00	\$84.00						
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00						
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$282.00						
<input type="checkbox"/> No additional fee is required for amendment. <input checked="" type="checkbox"/> Please charge Deposit Account No. 08-2461 in the amount of \$282.00 A duplicate copy of this sheet is enclosed. <input type="checkbox"/> A check in the amount of _____ to cover the filing fee is enclosed. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 08-2461 A duplicate copy of this sheet is enclosed. <input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16. <input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17.											
 Signature			Dated: 6/25/02								
Gloria K. Szakiel Hofmann & Baron, LLP 6900 Jericho Turnpike Syosset, NY 11791											
cc:			<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td colspan="2">I certify that this document and fee is being deposited on 6-25-02 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.9 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.</td> </tr> <tr> <td colspan="2" style="text-align: center;">  Signature of Person Mailing Correspondence </td> </tr> <tr> <td colspan="2" style="text-align: center;"> K. J. Goodhand Typed or Printed Name of Person Mailing Correspondence </td> </tr> </table>			I certify that this document and fee is being deposited on 6-25-02 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.9 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.		 Signature of Person Mailing Correspondence		K. J. Goodhand Typed or Printed Name of Person Mailing Correspondence	
I certify that this document and fee is being deposited on 6-25-02 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.9 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.											
 Signature of Person Mailing Correspondence											
K. J. Goodhand Typed or Printed Name of Person Mailing Correspondence											

PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)
(Large Entity)

Docket No.
1146-4 DIV/CON
In Re Application Of: **Shults, et al.**
Serial No.
09/489,588

Filing Date
January 21, 2000

Examiner
Nasser, R.

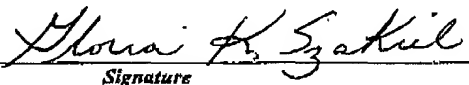
Group Art Unit
3736
Invention: **DEVICE AND METHOD FOR DETERMINING ANALYTE METHODS****TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the Office Action of **February 27, 2002** above-identified application.
Date

The requested extension is as follows (check time period desired):

☒ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months
from: **May 27, 2002**
*Date*until: **June 27, 2002**
*Date*The fee for the extension of time is **\$110** and is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 08-2461
A duplicate copy of this sheet is enclosed.
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 08-2461
A duplicate copy of this sheet is enclosed.


Signature
Dated: **6/25/02**
Gloria K. Szakiel
Hoffmann & Baron, LLP
6900 Jericho Turnpike
Syosset, NY 11791

I certify that this document and fee is being deposited
on **6-25-02** with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.


*Signature of Person Mailing Correspondence***K. J. Goodhand***Typed or Printed Name of Person Mailing Correspondence*

cc:

Sheet 1 of 1

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	ATTY. DOCKET NO. 1146-4 DIV/CON	SERIAL NO. 09/489,588
	APPLICANT Shultz et al.	CONFIRMATION NO. 6019
	FILING DATE 01/21/00	GROUP 3736

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	5,538,511	07/23/96	Van Antwerp	604	265	
	5,569,186	10/29/96	Lord et al.	604	67	
	5,653,863	08/05/97	Genshaw et al.	205	777.5	
	5,791,344	08/11/98	Schulman et al.	128	635	
	5,985,129	11/16/99	Gough et al.	205	724	
	US2002/0042090A1	04/11/02	Heller et al.			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.